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# Improving prognostication and treatment choices for patients with AML

ALBIN ÖSTERROOS



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### Abstract

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The treatment landscape of the aggressive haematological malignancy acute myeloid leukaemia (AML) has expanded but the prognosis is still unsatisfactory poor. Here, we aimed at improving prognostication and treatment choices in AML by addressing current clinical obstacles to successful AML treatment.

Acute promyelocytic leukaemia (APL) is an AML subset characterised by a high rate of early death (ED). In **Paper I**, we developed a novel risk score for ED in APL. We identified three risk groups for ED based on regression analyses on first a training cohort from the population-based Swedish AML Registry (n=301) and later an external validation cohort from a hospital-based registry (n=129). The presented risk score included age, platelets and white blood cell (WBC) count. Importantly, already sub-normal to normal WBC counts conferred higher risks of ED.

Molecular studies of elderly AML patients are sparse. In **Paper II**, we focused on patients  $\geq 65$  years to investigate the prognostic effect of molecular markers and to propose an algorithm for response to intensive chemotherapy (IC) in this patient group. We combined clinical data with targeted DNA- and RNA-sequencing of 182 patients. Notably, we identified and externally validated three risk categories for complete remission achievement after IC based on mutational status of *TP53* and gene expression levels of *ZBTB7A* and *EEPDI*.

Hypomethylating agents (HMAs) constitute a backbone for AML patients ineligible for IC. There are limited studies on their effectiveness in the real-world setting. In **Paper III**, we compared the utility of HMAs against IC and palliative care in all AML patients  $\geq 60$  years in Sweden (n=3135) during 2008-2018. Propensity score matching in this population-based cohort showed that HMAs are as effective as IC upfront when patient characteristics were balanced. Additionally, predictive factors for overall survival in HMA treated patients were different to IC treated patients.

The HMA azacitidine combined with venetoclax is the current frontline option to AML patients unfit for IC. Few studies have addressed how this synergism arises. In **Paper IV**, we characterised the epigenetic and transcriptomic effects of azacitidine-venetoclax *in vitro* and elucidated potential survival/resistance mechanisms in AML blasts including the serine synthesis pathway and NTRK signaling. Furthermore, we utilised obtained RNA-seq data and *in silico* predictions to propose add-ons to azacitidine-venetoclax to further strengthen the synergy.

In summary, the research presented herein contributes to improved personalised medicine in AML via real-world data, risk stratification algorithms and insights into potential novel therapeutic approaches.

**Keywords:** Acute myeloid leukaemia, Acute promyelocytic leukaemia, Prognostication, Personalised medicine, Risk stratification, Real-world data, Next-generation sequencing, Azacitidine, Venetoclax

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*Nothing in life is to be feared, it is only to be understood.  
Now is the time to understand more, so that we may fear less.*

*Marie Curie*



# List of Papers

The thesis is based on the following papers and referred to in the text by their Roman numerals.

- I. **Österroos, A.**, Maia, T., Eriksson, A., Jädersten, M., Lazarevic, V., Wennström, L., Antunovic, P., Cammenga, J., Deneberg, S., Lorenz, F., Möllgård, L., Uggla, B., Ölander, E., Aguiar, E., Trigo, F., Höglund, M., Juliusson, G., Lehmann, S. *A risk score based on real-world data to predict early death in acute promyelocytic leukemia*. *Haematologica*. 2022, 107, 1528–1537.
- II. **Österroos, A.**, Björklund, M., Eriksson, A., Lindberg, J., Nilsson, C., Mareschal, S., Rantalainen, M., Grönberg, H., Lehmann, S. *Integrated transcriptomic and genomic analysis improves prediction of complete remission and survival in elderly patients with acute myeloid leukemia*. *Blood Cancer Journal*. 2020, 10, 67.
- III. **Österroos, A.**, Eriksson, A., Antunovic, P., Cammenga, J., Deneberg, S., Lazarevic, V., Lorenz, F., Möllgård, L., Derolf, Å.R., Uggla, B., Wennström, L., Ölander, E., Höglund, M., Juliusson, G., Lehmann, S. *Real-world data on treatment patterns and outcomes of hypomethylating therapy in patients with newly diagnosed acute myeloid leukaemia aged  $\geq 60$  years*. *British Journal of Haematology*. 2020, 189.
- IV. **Österroos, A.**, Zhong, X., Gamboa Cedeno, A., Junkunlo, K., Bengtzén, S., Eriksson, A., Lehmann, S. *Integrated multi-omic profiling of azacitidine-venetoclax in AML reveals additional targetable pathways to improve the treatment*. Manuscript.

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### Other scientific publications by the PhD candidate

Eriksson, A., Engvall, M., Mathot, L., **Österroos, A.**, Rippin, M., Cavelier, L., Ladenvall, C., Baliakas, P. *Somatic exonic deletions in RUNX1 constitutes a novel recurrent genomic abnormality in acute myeloid leukemia.* Clin Cancer Res. 2023, CCR-23-0122.

Trac, QT., Pawitan, Y., Mou, T., Erkers, T., Östling, P., Bohlin, A., **Österroos, A.**, Vesterlund, M., Jafari, R., Siavelis, I., Bäckvall, H., Kiviluoto, S., Orre, LM., Rantalainen, M., Lehtiö, J., Lehmann, S., Kallioniemi, O., Vu, TN. *Prediction model for drug response of acute myeloid leukemia patients.* NPJ Precis Oncol. 2023, 7(1):32.

Mou, T., Pawitan, Y., Stahl, M., Vesterlund, M., Deng, W., Jafari, R., Bohlin, A., **Österroos, A.**, Siavelis, L., Bäckvall, H., Erkers, T., Kiviluoto, S., Seashore-Ludlow, B., Östling, P., Orre, LM., Kallioniemi, O., Lehmann, S., Lehtiö, J., Vu, TN. *The transcriptome-wide landscape of molecular subtype-specific mRNA expression profiles in acute myeloid leukemia.* American J Hematol. 2021, 96(5):580-588.

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# Abbreviations

Allo-HSCT	Allogeneic haematopoietic stem cell transplantation
AML	Acute myeloid leukaemia
AML-MRC	Acute myeloid leukaemia with myelodysplasia-related changes
APL	Acute promyelocytic leukaemia
ATAC-seq	Assay for Transposase-Accessible Chromatin using sequencing
ATO	Arsenic trioxide
ATRA	All- <i>trans</i> -retinoic acid
BCL-2	B-cell lymphoma 2
CBF	Core-binding factor
cDNA	Complementary DNA
CEBPA	CCAAT/enhancer-binding protein alpha
CIT	Conditional inference trees
CK-AML	Acute myeloid leukaemia with a complex karyotype
CMML	Chronic myelomonocytic leukaemia
CR	Complete remission
DAP	Differentially accessible peak
DEG	Differentially expressed gene
ECOG PS	Eastern Cooperative Oncology Group performance status
ED	Early death
ELN	European LeukemiaNet
FDA	Food and Drug Administration
FDR	False discovery rate
FISH	Fluorescence <i>in situ</i> hybridisation
FLT3	FMS-related tyrosine kinase 3
GO	Gemtuzumab ozogamicin
GRCh	Genome Reference Consortium Human
Hb	Haemoglobin
HL	Hyperleukocytosis
HMA	Hypomethylating agent
HOMER	Hypergeometric Optimization of Motif EnRichment
IC	Intensive chemotherapy
ICC	International Consensus Classification
IDH	Isocitrate dehydrogenase

ITD	Internal tandem duplication
LAIP	Leukaemia-associated immunophenotype
LDH	Lactate dehydrogenase
LSC	Leukaemic stem cells
MDS	Myelodysplastic syndrome
MPN	Myeloproliferative neoplasm
MRD	Measurable residual disease
ncRNA	Non-coding RNA
NGS	Next-generation sequencing
OS	Overall survival
PC	Palliative care
PML	Promyelocytic leukaemia
PSM	Propensity-score matching
RAR $\alpha$	Retinoic acid receptor alpha
RNA-seq	RNA-sequencing
RT-qPCR	Real-time quantitative polymerase chain reaction
RUNX1	Runt-related transcription factor 1
s-AML	Secondary acute myeloid leukaemia
SAALR	Swedish Adult Acute Leukaemia Registry
SEER	Surveillance, Epidemiology, and End Results
TKD	Tyrosine kinase domain
WBC	White blood cell
WHO	World Health Organization

# Acute myeloid leukaemia

The severe and highly heterogenous disease entity acute myeloid leukaemia (AML) constitutes the most common form of acute leukaemia among adults. Major advances have been made with regard to biological and therapeutic aspects since the discovery of the ‘globuli alicantes’, the white cells, in the early 1700s and the identification of leukaemia as a distinct blood disorder in the mid-19th century, made independently by Bennett and Virchow<sup>1</sup>. These advances include among other a detailed delineation of the genetic aberrations recurrently observed in AML as well as steady improvements in clinical outcomes. Nonetheless, major unmet clinical needs persist as far from every AML patient is cured. In fact, only approximately 25-35% survive for more than 5 years after a diagnosis of AML<sup>2</sup> and the median overall survival (OS) is 6-7 months when including all adult AML cases<sup>3</sup>.

Despite its genetically and clinically diverse manifestations, overt AML emanates from the clonal expansion of immature myeloid cells and the subsequent impaired synthesis of normal blood. These myeloblasts occur as a result of numerous genetic aberrations accumulated in a haematopoietic precursor cell<sup>4,5</sup>. In comparison to normal haematopoiesis where multipotent haematopoietic stem cells are capable of differentiation and under tight control with regard to proliferation, the genetic alterations in AML cause blocked differentiation and rampant expansion of the myeloblasts.

The pathogenesis behind AML is multifaceted and not fully clarified. However, the leukaemogenic process includes among other the acquisition of one or more driver mutations, Darwinian selective pressure by the bone marrow microenvironment and/or immune system as well as epigenetic aberrations with the eventual formation of leukaemic stem cells (LSCs)<sup>6,7</sup>. This stepwise evolution also results in the presence of genetically and epigenetically distinct subclones at time of AML diagnosis<sup>8,9</sup>. LSCs fuel the bulk of the leukaemia as they harbour unlimited self-renewal capacity alongside a capability of re-initiating AML as shown upon transplantation into immunocompromised mice<sup>10,11</sup>. Moreover, the LSCs are inherently therapy-resistant to conventional chemotherapy as they, in parallel with normal haematopoietic stem cells, may enter a transiently dormant state and hence form a reservoir for relapse after remission<sup>12,13,14</sup>. In fact, relapsing disease in addition to primary refractory AML currently constitute two major challenges for successful AML

treatment<sup>15</sup> whereby improved prognostic tools and powerful treatment options upfront are necessary to avoid the selection of difficult-to-treat AML clones.

## Epidemiology

AML accounts for approximately 80% of all adult acute leukaemias. The disease onset of AML is highly age-dependent with a median age at diagnosis of 72 years in Sweden<sup>3</sup>. Overall age-adjusted incidence rates of 4.2-5.4 per 100.000 person-years have been reported in the Western world<sup>16,17,18</sup> with an age-adjusted incidence of 20.1 per 100.000 person-years in individuals  $\geq 65$  years<sup>19</sup>. This translates into around 350-400 annual AML cases in Sweden with a minor male preponderance (2.9 per 100.000 men, 2.6 per 100.000 women<sup>20</sup>).

This strong age-dependency has led to an absolute increase over time in the incidence as the population ages. In 2022, 20% of all individuals in Sweden were  $\geq 65$  years as compared to 17% in 2000 and 16% in 1980<sup>21</sup>. Projected estimates have in fact pointed towards a growth in incident AML cases of approximately 30% globally until 2040<sup>22</sup> with Swedish data showing an annual increase of 0.7% for *de novo* AML and 4.5% for therapy-related AML<sup>23</sup>. The latter is mainly due to the improved survival rates of other malignancies<sup>24</sup>.

This is likely to cause shifts in the therapeutic demands of AML in the layout of providing optimal AML care in addition to the fact that different genetic subgroups show different age distributions. This may be exemplified by core-binding factor (CBF) AML, i.e. AML with t(8;21)(q22;q22.1), *RUNX1-RUNX1T1* or inv(16)(p13.1q22), *CBFB-MYH11*, that presents at a younger age (median age 43 years) whereas AML with myelodysplasia-related aberrations such as monosomy 7, a complex karyotype and/or myelodysplasia-related mutations often appears at higher ages<sup>25</sup>.

## Clinical presentation

The expansion of leukaemic blast cells eventually results in bone marrow failure and its pertaining clinical manifestations. In addition to the malignancy-related general loss of sense of well-being, pyrexia and/or anorexia, the symptoms mainly emanate from the development of anaemia, thrombocytopenia, leukopenia or leukostasis<sup>26</sup>. Typical signs and symptoms of anaemia include fatigue, pallor, dyspnoea on exertion and palpitations. Thrombocytopenia causes epistaxis, easy bruising, prolonged bleeding times and petechiae whereas leukopenia mainly results in frequent infections. Leukostasis is caused by an increase in blood viscosity and its cardinal features include neurologic perturbations and respiratory distress. Circulating leukemic blasts may

also infiltrate most tissues and such extramedullary lesions may occasionally be the first disease manifestation.

As mentioned, AML is a heterogenous disease. This holds true also with regard to the clinical manifestations as the spectrum covers cases discovered *en passant* upon routine blood sampling to cases with disabling organ dysfunction requiring intensive care upon presentation.

## Diagnosis and classification

The diagnostic criteria for AML are an ever-evolving subject. Before 2022 a diagnosis of AML was set in the following cases i) with >20% blast cells in the bone marrow or peripheral blood, ii) irrespective of blast count whether CBF related abnormalities, e.g. t(8;21) or inv(16), or the acute promyelocytic leukaemia (APL) defining t(15;17) were present or iii) the presence of extramedullary myeloblasts (myeloid sarcoma) as defined by the World Health Organization (WHO) in 2016<sup>27</sup>.

The current diagnostic landscape of AML now consists of an updated version of the previous WHO edition<sup>28</sup> as well as a new system named the International Consensus Classification (ICC)<sup>29</sup>. The growing knowledge of molecular markers in AML as well as the mounting possibilities of next-generation sequencing (NGS) in clinical routine are reflected in both diagnostic classifications (Table 1).

The updated WHO criteria advocate the blast cut-off 20% apart from AML with defining genetic aberrations where no blast threshold needs to be surpassed (apart from AML with *BCR::ABL1* fusion or mutated *CEBPA* where 20% remains the limit). In cases without a defining genetic abnormality but with  $\geq 20\%$  blasts, the diagnosis is determined upon degree of differentiation.

The classification scheme of ICC sets the blast threshold at 10% for a diagnosis of AML with recurrent genetic abnormalities (again with the exception of AML with *BCR::ABL1* where 20% blasts are required). Blast counts of 10-19% result in a diagnosis of myelodysplastic syndrome (MDS)/AML whereas those with  $\geq 20\%$  blasts qualify as AML. Moreover, ICC proposes a hierarchical approach for diagnostic labelling where recurrent genetic abnormalities have supremacy over *TP53* followed by AML with myelodysplasia related gene mutations or cytogenetic aberrations and eventually AML not otherwise specified.

Both working groups suggest additional labels to the diagnosis when applicable. These include AML with germline predisposition and AML following cytotoxic therapy in the WHO update whereas ICC have supplemental qualifiers for instances with germline predisposition, a previous diagnosis of MDS or myelodysplastic/myeloproliferative neoplasm (MDS/MPN) and therapy-related AML.

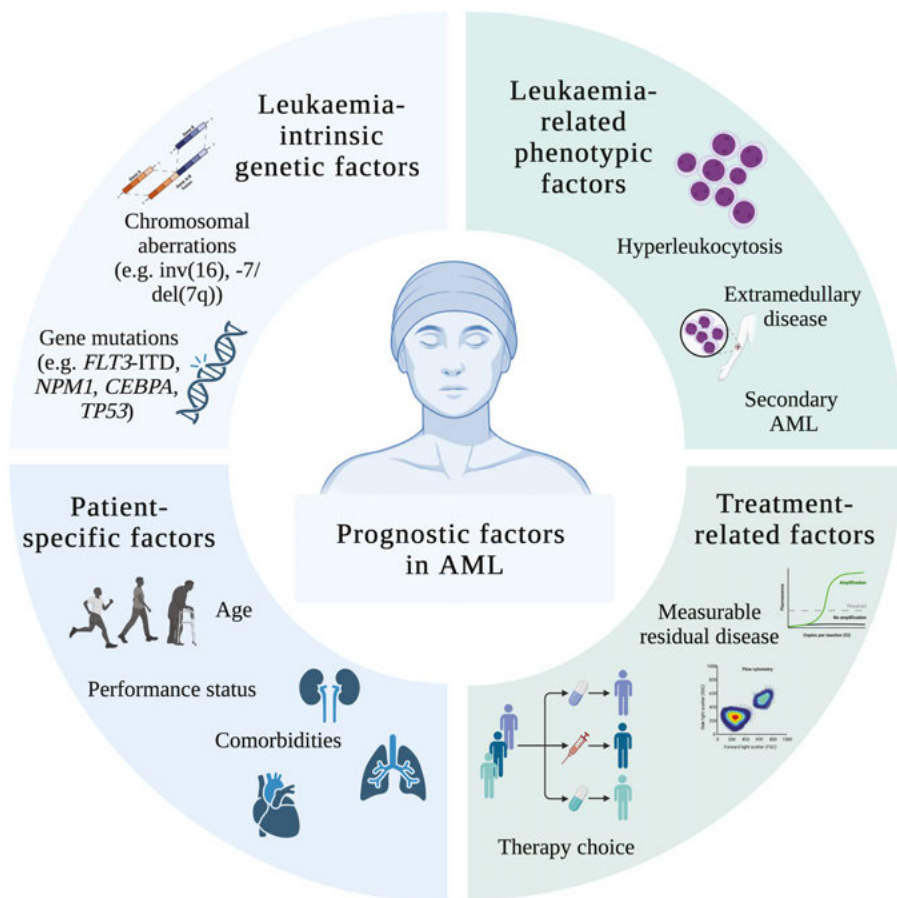
Hence, an accurate diagnosis of AML currently requires the cooperation of numerous clinical and laboratory specialists including haematologists, haematopathologists, and geneticists as recommended by the European LeukemiaNet (ELN)<sup>30</sup>. In addition to the sampling of peripheral blood and bone marrow at diagnosis, further characterisation is typically done by immunophenotyping by flow cytometry, mutational screening by NGS based gene panels and cytogenetic analyses. The latter includes fluorescence *in situ* hybridisation (FISH) and reverse transcriptase polymerase chain reaction (RT-PCR) to rapidly find certain clinically important chromosomal aberrations such as APL- or CBF-defining lesions whereas conventional karyotyping may be used to find other aberrations.

Table 1. Adapted compilation of diagnostic classifications as presented by WHO 2016 (ref. 27), WHO 2022 (ref. 28) and ICC 2022 (ref. 29). Footnotes omitted.			
WHO 2016	WHO 2022	ICC 2022	
APL with <i>PML-RAR4</i>	APL with <i>PML::RAR4</i> fusion	APL with t(15;17)(q24.1;q21.2)/ <i>PML::RAR4</i> or APL with other <i>RAR4</i> rearrangements	
AML with t(8;21)(q22;q22.1)/ <i>RUNX1-RUNX1T1</i>	AML with <i>RUNX1::RUNX1T1</i> fusion	AML with t(8;21)(q22;q22.1)/ <i>RUNX1::RUNX1T1</i>	
AML with inv(16)(p13.1q22) or t(16;16)(p13.1;q22)/ <i>CBFB-MYH11</i>	AML with <i>CBFB::MYH11</i> fusion	AML with inv(16)(p13.1q22) or t(16;16)(p13.1;q22)/ <i>CBFB::MYH11</i>	
AML with t(9;11)(p21.3;q23.3)/ <i>KMT2A-MLLT3</i>	AML with <i>KMT2A</i> rearrangement	AML with t(9;11)(p21.3;q23.3)/ <i>MLLT3::KMT2A</i> or AML with other <i>KMT2A</i> rearrangements	
AML with t(6;9)(p23;q34.1)/ <i>DEK-NUP214</i>	AML with <i>DEK::NUP214</i> fusion	AML with t(6;9)(p22.3;q34.1)/ <i>DEK::NUP214</i>	
AML with inv(3)(q21.3q26.2) or t(3;3)(q21.3;q26.2)/ <i>GATA2, MECOM</i>	AML with <i>MECOM</i> rearrangement	AML with inv(3)(q21.3q26.2) or t(3;3)(q21.3;q26.2)/ <i>GATA2; MECOM(EV11)</i> or other <i>MECOM</i> rearrangements	
-	AML with <i>NUP98</i> rearrangement	-	
AML (megakaryoblastic) with t(1;22)(p13.3;q13.3)/ <i>FBM15-MKL1</i>	-	-	
<i>Provisional entry: AML with BCR-ABL1</i>	AML with <i>BCR::ABL1</i> fusion	AML with t(9;22)(q34.1;q11.2)/ <i>BCR::ABL1</i>	
AML with mutated <i>NPM1</i>	AML with <i>NPM1</i> mutation	AML with mutated <i>NPM1</i>	
AML with biallelic mutations of <i>CEBP4</i>	AML with <i>CEBP4</i> mutation	AML with in-frame bZIP <i>CEBP4</i> mutations	
-	-	AML with mutated <i>TP53</i>	
<i>Provisional entry: AML with mutated RUNX1</i>	-	-	
AML with myelodysplasia-related changes	AML, myelodysplasia-related	AML with myelodysplasia-related gene mutations or cytogenetic abnormalities	
-	AML with other defined genetic alterations	AML with other rare recurring translocations	
AML, Not Otherwise Specified	AML, defined by differentiation	AML, not otherwise specified	
Myeloid sarcoma	Myeloid sarcoma	Myeloid sarcoma	
Therapy-related myeloid neoplasms	-	-	

## Prognostic factors and risk stratification

Despite advances in the clinicobiological understanding of the disease, the prognosis of AML is unsatisfactorily poor. Nonetheless, progress has been made which can be illustrated by an increase in the relative 5-year survival from 2.7-3.6% in the early 1970s to 34.3-35.3% in the late 2010s in Sweden<sup>31</sup> with similar numbers reported from the Surveillance, Epidemiology, and End Results (SEER) program<sup>32</sup>.

Numerous factors affect the prognosis and a holistic assessment is required to properly judge a patient's trajectory. These factors can to a large extent be divided into patient-specific, leukaemia-intrinsic and treatment-related variables (Figure 1).



**Figure 1.** Prognostic factors in AML.



## Patient-specific factors

### Age

The patient's age at diagnosis remains one of the strongest patient-related factors with regard to prognostication. Adult patients <50 years have estimated overall 1- and 5-year survival rates of approximately 70% and 55%, respectively. In comparison, patients 60-75 years have 1- and 5-year OS of approximately 40% and 20%, respectively, and patients >75 years have a chance at 1-year OS of 10%<sup>33,34</sup>. A diagnosis of AML when  $\geq 65$  years conveys a median OS of 2.7 months according to SEER data which in fact is the shortest of numerous malignancies including other aggressive cancer types such as pancreatic and lung cancer<sup>19</sup>.

The grim prognosis in elderly AML patients is mainly caused by the higher prevalence of comorbid conditions, worse performance status at diagnosis, higher presence of a preceding phase of MDS or MPN as well as the frequent finding of adverse-risk molecular markers.

### Performance status

Prognosis becomes worse as the Eastern Cooperative Oncology Group performance status (ECOG PS) increases, in particular in elderly patients and in particular if  $> 2$ <sup>3,35</sup>. Moreover, higher ECOG PS values have been associated with treatment toxicity and early mortality, especially in combination with age<sup>36,37</sup>.

### Comorbidities

The prevalence of comorbidity increases with age. Approximately 40% of all AML patients have a comorbid disease at diagnosis but the prevalence ranges from 15% in patients 18-49 years to 59% in patients  $\geq 80$  years<sup>38</sup>. Validated comorbidity indices may be used to predict outcomes in AML. These include the Charlson Comorbidity Index<sup>39</sup> and the Hematopoietic Cell Transplantation-Specific Comorbidity Index<sup>40</sup>. These tools have been shown to independently predict complete remission (CR), early death (ED) and OS<sup>41,42,43,44,45</sup>.

## Leukaemia-intrinsic factors

### Hyperleukocytosis

Hyperleukocytosis (HL) is usually defined as a white blood cell (WBC) count  $> 100 \times 10^9/L$  and is considered a haematologic emergency in the context of AML<sup>46</sup>. As such, HL portends a high risk of complications, ED and an overall association with poor outcomes<sup>47,48</sup>. The worse prognosis may in large part be attributable to HL causing leukostasis, tumor lysis syndrome and disseminated intravascular coagulation.

## Extramedullary disease

The presence of extramedullary disease at AML diagnosis has been reported to be present in 2-17% of newly diagnosed patients and mainly involves the skin, the central nervous system or the pleura<sup>49,50,51</sup>. Extramedullary involvement has been associated with lower odds of achieving CR, higher rates of ED and shorter OS as compared to AML patients without extramedullary disease<sup>52</sup>.

## Secondary AML

The development of AML after previous cytotoxic treatment, commonly defined as therapy-related AML, or following an antecedent haematological disorder, usually MDS or MPN, may collectively be defined as secondary AML (s-AML)<sup>53,54</sup>. In comparison to *de novo* AML, s-AML is associated with lower CR rates and shorter OS<sup>55,56</sup>. These poorer outcomes are mainly attributable to numerous genetic abnormalities as described below.

## Chromosomal aberrations

Cytogenetic aberrations have long been known to impact rates of CR, relapse and OS in AML<sup>57,58,59,60</sup>. Approximately 50-60% of all AML cases will have detectable cytogenetic abnormalities at the time of diagnosis. These are mainly categorised as balanced chromosomal rearrangements, complex karyotypes (i.e.  $\geq 3$  unrelated chromosomal abnormalities), and other unbalanced abnormalities including trisomies and deletions. Cytogenetic aberrations currently included in the latest risk stratification by the ELN are outlined below.

CBF AML constitutes a subset with balanced chromosomal rearrangements with a more favourable prognosis compared to other cytogenetic subgroups<sup>61</sup>. CBF AML is defined as the presence of t(8;21)(q22;q22) or inv(16)(p13q22)/t(16;16)(p13;q22) that results in the fusion genes *RUNX1/RUNX1T1* and *CBFB/MYH11*, respectively. These chimeric proteins will in turn negatively affect the transcriptional activity of RUNX1 which is required for normal haematopoiesis<sup>62</sup>. CBF AML has consistently been associated with good responses to intensive chemotherapy and with younger age at time of diagnosis<sup>25,58,63</sup>.

AML with a complex karyotype (CK-AML) exists on the other end of the prognostic spectrum including an association with higher age and s-AML<sup>64</sup>. CK-AML accounts for approximately 10% of all cases. Moreover, relapse rates are high as more than half of all CK-AML patients who undergo an allogeneic haematopoietic stem cell transplantation (allo-HSCT) will relapse within 2 years from transplantation<sup>65</sup>. CK-AML mainly reflects deregulations in genomic stability and mutated *TP53* is detectable in up to two-thirds of all CK-AML patients<sup>66</sup>. Other adverse cytogenetic markers include AML with a monosomal karyotype, monosomy 5 or deletion 5q, 3q abnormalities (involving *MECOM*) and the most frequently recurrent high-risk marker; monosomy

7 or deletion 7q. These markers usually co-occur and are indicative of s-AML and confer low responses to conventional chemotherapy<sup>67</sup>.

Other though more infrequently observed cytogenetic aberrations that still imply a poor prognosis include translocations resulting in the fusion genes *DEK::NUP214*, *BCR::ABL1* and *KAT6A::CREBBP*. Each of these accounts for around 1% of all AML cases annually and they have repeatedly been associated with low CR rates upon intensive chemotherapy<sup>68,69,70,71</sup>. Likewise, *KMT2A*-rearranged AML that accounts for 3-7% of all AML cases predicts a poor prognosis due to treatment resistance and relapses<sup>72,73</sup>. Of note, the balanced translocation t(9;11)(p21.3;q23.3) that results in the fusion gene *MLL3::KMT2A* is stratified as an ‘intermediate’ risk AML<sup>30</sup>. As the most common *KMT2A* rearrangement, it is found in approximately 30-40% of all *KMT2A* rearranged AML cases. This subgroup has been associated with superior outcomes compared to other *KMT2A* rearranged subgroups, especially in younger patients<sup>72</sup>.

Lastly, around 40% of all cases will present with a normal karyotype<sup>57</sup> and the diversified prognoses observed within this large subset are mainly attributable to the context of other molecular aberrations in addition to the above-mentioned patient-specific factors.

### **Somatic mutations**

The number of acquired somatic mutations in AML is lower than for most other malignancies. Whole-genome and whole-exome sequencing has shown an average of 13 mutations per AML case<sup>74</sup>. Nonetheless, somatic mutations are found in 97% of all cases<sup>75</sup>. The prognostic impact of somatic mutations in AML is an expanding field which may be illustrated by the fact that the most recent ELN risk classification includes 13 driver genes as compared to 6 in the version from 2017 and 3 in the version published in 2010<sup>30,76,77</sup> with seemingly improved prognostic usefulness<sup>78,79</sup>. Molecular aberrations included in ELN 2022 are outlined below.

### ***NPM1***

Mutated *NPM1* is one of the most commonly found molecular aberrations in AML and detectable in approximately one-third of all cases<sup>80,81</sup>. Around half of all patients with normal cytogenetics harbours a mutation in *NPM1*. Other co-occurring genetic lesions are necessary for leukemic transformation and the prognosis of *NPM1* mutated AML is thereby contextual<sup>81,82</sup>. This is particularly evident with regard to the presence of internal tandem duplications in *FLT3* (*FLT3*-ITD) or not. ELN 2022 risk stratifies AML with mutated *NPM1* without *FLT3*-ITD as “favourable” and cases with *FLT3*-ITD as “intermediate”.

Moreover, the co-occurrence of mutations in *NPM1* with either *NRAS*, *RAD21* or in the tyrosine kinase domain of *FLT3* (*FLT3*-TKD) has been associated with better prognosis whereas mutated *WT1* or adverse cytogenetics

confer worse prognosis<sup>75,83,84</sup>. Importantly, *NPM1* mutated AML responds well to treatment with a hypomethylating agent (HMA) and venetoclax<sup>85</sup>, underlining the importance of molecular testing also in patients ineligible for intensive chemotherapy. It shall however be emphasised that the presence of adverse risk cytogenetics, which is more common in the elderly, nullifies the impact of a mutated *NPM1*<sup>86</sup>.

### ***CEBPA***

CCAAT/enhancer-binding protein alpha (*CEBPA*) is a transcription factor with pivotal roles in myeloid differentiation. *CEBPA* mutations are found in around 10% of all AML patients and confer a good prognosis. Initial studies indicated that the favourable prognosis was restricted to patients with biallelic mutations<sup>87</sup>. Later studies have now confined the beneficial impacts on prognosis to in-frame mutations in the basic leucine zipper region of *CEBPA* regardless of whether these occur as single or double mutations<sup>88,89</sup>. *CEBPA* mutated AML is highly sensitive to intensive chemotherapy which is reflected by reported CR rates of over 90%<sup>89,90</sup>.

### ***FLT3***

A mutated *FLT3* in the form of *FLT3*-ITD is found in 25% of all AML patients whereas *FLT3*-TKD is detected in 5-10% of all cases<sup>74,75</sup>. The prognostic importance of the latter has not been established, although both *FLT3*-ITD and *FLT3*-TKD result in constitutive activation of the *FLT3* tyrosine kinase with the promotion of cell survival and proliferation. In fact, *FLT3*-ITD mutated AML often presents with a high leukaemic burden including leukocytosis and high blast counts<sup>91,92</sup>.

Hence, *FLT3*-ITD is associated with worse prognosis, in particular in patients <60 years<sup>93</sup>, and commonly persists as a driver mutation also at relapse<sup>94</sup>. The high proportion of AML patients with mutated *FLT3* as well as *FLT3* as a well-identified and targetable tyrosine kinase has lately led to the development of numerous *FLT3* inhibitors with evident clinical benefits<sup>95</sup>. Their roles in the treatment of *FLT3* mutated AML remain to be clarified.

### ***TP53***

*TP53* stands as the mutation with the highest unmet clinical need. Mutated *TP53* is present in 5-15% of all AML cases<sup>75</sup> and aberrations in this vital tumour suppressor gene result in genomic instability and complex cytogenetic abnormalities. The median OS of *TP53* mutated AML is around 6 months irrespective of treatment with intensive chemotherapy or HMA with or without venetoclax<sup>96</sup>. The poor prognosis conferred by *TP53* mutated AML is mainly caused by an intrinsic resistance to DNA-damaging treatments as *TP53* is pivotal in driving the apoptosis upon chemotherapeutic exposure<sup>63</sup>.

## Myelodysplasia related gene mutations

Since the introduction of AML with myelodysplasia-related changes (AML-MRC) in 2008 by the WHO classification<sup>97</sup>, there have been major advances in the biological understanding of this category. Hence, this entity has now been removed from both the WHO and ICC classification schemes and replaced by genetic and cytogenetic categories that define an s-AML ontogeny. These changes are mainly caused by the fact that AML-MRC defining dysplasias lack prognostic value and that the genetic aberrations override the clinical history with regard to AML biology and prognosis<sup>98</sup>. The list of myelodysplasia related gene mutations currently includes spliceosome genes (*SF3B1*, *SRSF2*, *U2AF1*, and *ZRSR2*) and chromatin modifying genes (*ASXL1*, *STAG2*, *EZH2* and *BCOR*) in both the WHO and ICC classifications with the addition of *RUNX1* by the latter. All of these have been associated with a poor prognosis and thereby stratified as “adverse” risk AML by ELN 2022<sup>30</sup>. Importantly, these mutations confer an equally inferior prognosis in *de novo* AML as they do in s-AML<sup>99</sup>.

Mutations in *RUNX1* are detectable in 5-10% of all AML cases<sup>74,100</sup>. *RUNX1* mutations more commonly co-occur with *FLT3*-ITD, mutated *NRAS* and partial tandem duplication of the *MLL* gene and is also associated with higher age<sup>101,102</sup>. These contextual factors likely contribute to the adverse impact of *RUNX1* mutations. In addition, co-mutations in *ASXL1*, *SRSF2* and *PHF6* confer an even worse prognosis<sup>101</sup>.

The combined subgroup of chromatin-spliceosome mutations comprises the second largest subgroup in AML, only superseded by *NPM1* mutated AML<sup>75</sup>. This fits well with the previous notion of AML-MRC representing approximately 25-30% of all AML diagnoses.

Spliceosome gene mutations are detectable in up to 50% of all s-AML cases as compared to approximately 7% of *de novo* AML patients<sup>98</sup>. Interestingly, spliceosome mutations seem to be mutually exclusive from each other and hence synthetically lethal when co-expressed<sup>75,103</sup>. These mutations have in addition to the linkage with s-AML shown age-dependency<sup>104</sup> and an association with other adverse genetic risk factors such as mutated *RUNX1*<sup>105</sup>.

*ASXL1* is the most frequently mutated chromatin modifying gene in AML and mutated in 5-15% and 20-30% of all patients with *de novo* AML and s-AML, respectively<sup>106,107</sup>. Mutations in *STAG2*, *EZH2* and *BCOR* are genewise detectable in approximately 2-5% of *de novo* AML cases and 5-15% of s-AML cases<sup>108</sup>.

The factors driving the seemingly chemoresistant phenotype caused by the above-mentioned myelodysplasia related gene mutations are multifaceted. These include among other a different mutational burden in s-AML as compared to *de novo* AML, in particular with higher rates of *TP53* mutations as well as subclonal heterogeneity<sup>98</sup>; a higher degree of DNA hypermethylation and thereby the silencing of tumour suppressor genes<sup>109</sup>; as well as a higher expression of antiapoptotic proteins such as *BCL-2* and *BCL-XL*<sup>110</sup>.

## Other leukaemia-intrinsic factors

Currently, only recurrent and validated genetic and cytogenetic aberrations are implemented in clinical practice. Nonetheless, numerous attempts have been made to further refine the prognostic stratification. These endeavours have mainly focused on epigenetic markers although predictions based on gene expression, non-coding RNAs (ncRNAs) and proteomics also have been put forward<sup>111,112,113</sup>.

DNA methylation patterns have been shown to be altered in AML with subsequent transcriptional dysregulation. Moreover, the potential reversibility of these DNA methylation changes has resulted in the development of epigenetically active drugs including HMAs such as azacitidine and decitabine, in particular with the now evident knowledge that disrupted epigenetic mechanisms are important for AML initiation and perpetuation<sup>114</sup>.

DNA methylation signatures have been utilised to define distinct subgroups of AML within the already defined genetic subgroups. This may be exemplified by *NPM1* mutated AML and AML with normal karyotype that based on DNA methylation profiling can be subdivided into 4 and 5 clusters, respectively, with disparate outcomes<sup>115</sup>. Moreover, methylation scores have been presented with suggested utility in prognostication<sup>116,117</sup>. However, the implementation of these biomarkers in clinical routine would require further validation and/or replication in uniformly treated and bigger cohorts as well as the development of accredited laboratory methods.

Likewise, a plethora of ncRNA molecules has been suggested to contribute to AML heterogeneity and thereby potential utility as biomarkers<sup>113,118</sup>. These ncRNAs have been shown to harbour both oncogenic and tumour suppressive roles. Prognostic studies to date have mainly focused on microRNAs and long non-coding RNAs with shown potential as diagnostic and prognostic markers, indicative of treatment resistance and as possible therapeutic targets<sup>118,119</sup>. Nonetheless, the implementation of these markers is currently hindered by the same factors as for DNA methylation signatures; a lack of well-designed, prospective cohort studies of adequate sizes.

## Treatment-related factors

The presence of measurable residual disease (MRD) is highly associated with worse prognosis<sup>120</sup>, in particular MRD positivity after two courses of chemotherapy<sup>121,122,123</sup>. Moreover, conversion from MRD negativity to MRD positivity during follow-up after completed treatment is also highly indicative of a morphologic relapse. MRD positivity after non-intensive induction has also been shown to confer worse prognosis<sup>124</sup>. The current ELN 2022 recommendation emphasises the fact that MRD status and response to upfront treatment are pivotal in assessing a patient's risk in addition to the genetic classification.

In fact, these treatment-related factors may clinically be used to re-stratify patients dependent on treatment response.

MRD is nowadays assessed in clinical routine by multiparameter flow cytometry or molecular methods, mainly real-time quantitative PCR (RT-qPCR). The diagnostic work-up of AML includes immunophenotyping with flow cytometry and by that also a leukaemia-associated immunophenotype (LAIP) and/or an aberrant immunophenotype different from normal. Flow cytometry is limited by the fact that not all patients have an LAIP and a relatively low sensitivity where it identifies one leukaemic cell per  $10^3$ - $10^4$  healthy cells. RT-qPCR is more sensitive and recommended by national guidelines for MRD assessment in patients with mutated *NPM1*, CBF AML or APL.

## Risk stratification

For clinical routine, the ELN presented their latest genetic risk classification in 2022 (Table 2). It integrates recurrent chromosomal abnormalities and somatic mutations with regard to prognosis. The prognostic impact of cytogenetic aberrations has been known for decades whereas the list of risk stratifying gene mutations keeps on growing. The risk classification is mainly used as a tool to identify patients in the “intermediate” or “adverse” groups where allo-HSCT should be considered given a high risk of relapse if only treated with chemotherapy.

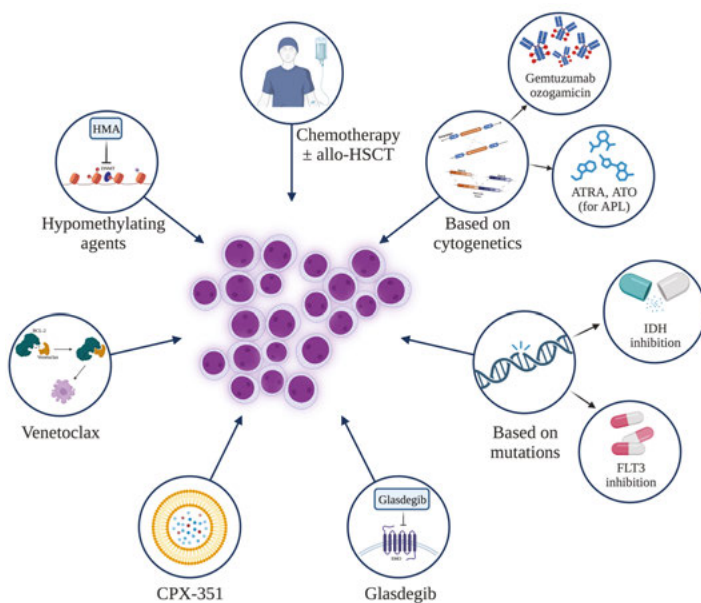
<b>Risk</b>	<b>Genetic abnormality</b>
Favourable	<ul style="list-style-type: none"> <li>▶ t(8;21)(q22;q22.1)/<i>RUNX1::RUNX1T1</i></li> <li>▶ inv(16)(p13.1q22) or t(16;16)(p13.1;q22)/ <i>CBFB::MYH11</i></li> <li>▶ Mutated <i>NPM1</i> without <i>FLT3</i>-ITD</li> <li>▶ bZIP in-frame mutated <i>CEBPA</i></li> </ul>
Intermediate	<ul style="list-style-type: none"> <li>▶ Mutated <i>NPM1</i> with <i>FLT3</i>-ITD</li> <li>▶ Wild-type <i>NPM1</i> with <i>FLT3</i>-ITD (without adverse-risk genetic lesions)</li> <li>▶ t(9;11)(p21.3;q23.3)/<i>MLL3::KMT2A</i></li> <li>▶ Cytogenetic and/or molecular abnormalities not classified as favourable or adverse</li> </ul>
Adverse	<ul style="list-style-type: none"> <li>▶ t(6;9)(p23.3;q34.1)/<i>DEK::NUP214</i></li> <li>▶ t(v;11q23.3)/<i>KMT2A</i>-rearranged</li> <li>▶ t(9;22)(q34.1;q11.2)/<i>BCR::ABL1</i></li> <li>▶ t(8;16)(p11.2;p13.3)/<i>KAT6A::CREBBP</i></li> <li>▶ inv(3)(q21.3q26.2) or t(3;3)(q21.3;q26.2)/ <i>GATA2, MECOM(EVII)</i></li> <li>▶ t(3q26.2;v)/<i>MECOM(EVII)</i>-rearranged</li> <li>▶ -5 or del(5q); -7; -17/abn(17p)</li> <li>▶ Complex karyotype, monosomal karyotype</li> <li>▶ Mutated <i>ASXL1, BCOR, EZH2, RUNX1, SF3B1, SRSF2, STAG2, U2AF1</i>, and/or <i>ZRSR2</i></li> <li>▶ Mutated <i>TP53</i></li> </ul>

## Treatment regimens

The treatment armamentarium of non-APL AML has for decades mainly been limited to conventional chemotherapy. A detailed molecular understanding and the delineation of distinct AML subsets have recently been translated into the approval of numerous novel agents and targeted drugs as depicted in Figure 2. The expanded treatment arsenal now requires tactful clinical judgments, especially with regard to treatment intentions.

Treatment goals should be tailored to and in dialogue with each individual patient. A holistic assessment of the leukaemia-intrinsic features in combination with the patient's health state and preferences is necessary to appropriately identify the goals of care. Long-term survival and cure should be the primary aim in patients who are medically fit and this is mainly accomplished by achieving CR by the use of intensive chemotherapy. On the contrary, the goals in patients who are frail or medically unfit should be focused on life prolongation, quality of life improvements and symptom alleviation.

The definition of unfit to intensive therapies is multifaceted although numerous attempts have been made to find stringent criteria including age, ECOG PS and/or comorbidities. Age alone cannot be used as a biomarker as older patients also benefit from more intensive treatments and may achieve long-standing remissions<sup>125,126</sup>. Nonetheless, it shall be emphasised that the risks of intensive chemotherapy may outweigh the benefits in patients with poor ECOG PS and/or adverse risk genetic aberrations as exemplified by higher rates of induction-related mortality and lower chances of CR achievement<sup>127,128</sup>.



**Figure 2.** Overview of currently approved AML therapies.



## Medically fit patients

The vast majority of medically fit AML patients undergo induction treatment with intensive chemotherapy, mainly cytarabine for 7 days (or 5 days in Sweden) combined with an anthracycline for 3 days, hence nicknamed “7 + 3”. This cytotoxic strategy reaches CR rates of up to 80% in patients <60-65 years of age<sup>129</sup> and have constituted the backbone of AML treatment since its presentation in a landmark publication in 1973<sup>130</sup>.

The achievement of CR is followed by courses of consolidation therapy. For patients not achieving CR by induction treatment, other chemotherapeutic drug combinations will be utilised for reinduction. Allo-HSCT should be considered for patients in the “intermediate” and “adverse” risk categories as defined by ELN due to an otherwise high risk of relapse. Allo-HSCT confers the possibilities of maximal anti-leukaemic activity by the means of intensive conditioning regimens containing chemotherapeutic and immunosuppressive agents and graft-versus-leukaemia effects induced by the new immune system emanating from the donor. However, the procedure of allo-HSCT is lined with a high rate of complications including excessive nonrelapse mortality including the risk of immune-mediated graft-versus-host disease<sup>26,131</sup>. Reduced-intensity conditioning regimens have thus been developed as an alternative to traditional myeloablative conditioning regimens to abrogate the risk of transplant related mortality in older patients.

Moreover, the notion of *FLT3* as a targetable and common AML mutation has led to the development of numerous more or less specific *FLT3* inhibitors mainly to mitigate the increased relapse risk noted in *FLT3*-ITD AML. Currently, midostaurin is recommended as an adjunct to “7 + 3” with shown benefits with regard to OS and event-free survival in patients with *FLT3* mutated AML<sup>132,133</sup>.

The recommended treatment for patients with *de novo* CBF AML and CD33-positivity now includes the immunoconjugate gemtuzumab ozogamicin (GO) in addition to “7 + 3”. The targeted actions of GO are based on the linkage between the cytotoxic substance calicheamin and an anti-CD33 monoclonal antibody. In spite of conflicting results in randomised trials, a more recent meta-analysis has shown improved OS and lower rates of relapse with the addition of GO to conventional chemotherapy in untreated patients with CBF AML<sup>134</sup>.

Secondary AML constitutes a persisting clinical challenge and treatment successes have been scarce. The synergistic effects of cytarabine and daunorubicin are maximised *in vitro* in a 5:1 molar ratio given that the drugs reach the myeloblasts<sup>135</sup>. These findings have led to the development of CPX-351, a liposomal encapsulation of cytarabine and daunorubicin that maintain the synergistic ratio. CPX-351 has shown OS benefits compared to conventional induction therapy and consolidation in patients with therapy-related AML, a

history of MDS or chronic myelomonocytic leukaemia (CMML), or AML-MRC<sup>136,137</sup>.

## Non-intensive alternatives

### **Hypomethylating agents**

The HMA azacitidine and its deoxy derivative 5-aza-2'-deoxycytidine (decitabine) were initially developed in the 1960-1970s as cytotoxic agents<sup>138</sup>. However, clinical usage was abandoned due to dose-dependent and extended myelosuppression and neurotoxicity. Further studies pointed to a differentiating effect via the inhibition of DNA methylation upon exposure to lower doses of HMAs<sup>139,140</sup> whereas later studies have elucidated pleiotropic effects of HMAs. The latter includes the induction of tumour suppressor genes as well as immune response activation<sup>141,142,143</sup>. Importantly, clinical trials utilising lower dose strategies eventually showed clinical benefits which led to the approval of azacitidine and decitabine in the early 2000s for the treatment of higher-risk MDS and CMML<sup>144</sup>.

Ultimately, phase 3 randomised trials demonstrated superior results with the use of an HMA versus best supportive care (including low-dose cytarabine)<sup>145,146,147</sup>, the latter being the main prevailing option to patients ineligible for intensive chemotherapy before the introduction of HMAs. Nonetheless, monotherapeutic usage of HMAs has resulted in CR rates of 20-30% with little to no definite improvements in OS and hence the necessity of developing other alternatives to HMAs as well as combination regimens with an HMA as a backbone given the clinical effectiveness.

The United States Food and Drug Administration (FDA) has also approved oral azacitidine (CC-486) as maintenance treatment after achieving a first remission. This strategy has resulted in OS benefits in a phase 3 trial in non-transplantable patients<sup>148</sup>.

### **Venetoclax**

The current recommended frontline therapy to older patients and patients ineligible for intensive chemotherapy consists of an HMA in combination with venetoclax. The latter was developed to overcome one of the hallmarks of malignant cells, namely their inherent resistance to apoptosis. Venetoclax acts as a BH3 mimetic with highly selective binding to the anti-apoptotic protein BCL-2<sup>149</sup>. This binding prevents the interaction of BCL-2 with pro-apoptotic molecules, mainly BAX and BIM, and therefore the induction of apoptosis<sup>150</sup>.

Clinical gains of BCL-2 inhibition were first accomplished in chronic lymphocytic leukaemia<sup>151,152</sup> and later also as monotherapy in AML<sup>153</sup>, largely based on the frequent observation of BCL-2 overexpression in AML cell lines<sup>154</sup> in addition to their dependency on BCL-2 for survival<sup>155</sup>. However, when firstly evaluated in a clinical trial (then mainly in relapsed/refractory

AML patients), the response rates were modest with venetoclax as single-agent therapy, namely 6% and 13% reaching CR or incomplete CR, respectively, and a median CR duration of only 1.6 months<sup>153</sup>. Consequently, combinatorial regimens including venetoclax were actively investigated and pre-clinical studies were able to show positive synergistic activities with both low-dose cytarabine<sup>156</sup> and HMAs<sup>157,158</sup>. These results were ultimately translated into clinical benefits as a large phase 3 placebo-controlled trial was able to show a distinct survival benefit of azacitidine and venetoclax as compared to azacitidine alone, notably in a usually hard-to-treat aged study population (median age 76 years)<sup>159</sup>.

The efficacy of azacitidine-venetoclax is now supported by follow-up<sup>160</sup> and real-world data<sup>161,162</sup> but questions remain, in particular with regard to venetoclax resistance (both intrinsic and acquired), cycle lengths, potential biomarkers for response and the role for other potent venetoclax-based combinations.

### ***IDH***

One-fifth of all AML patients harbours a mutation in the isocitrate dehydrogenase (IDH) genes *IDH1* or *IDH2*<sup>163</sup>. These mutations cause an accumulation of the oncometabolite 2-hydroxyglutarate and thereby alterations in cell differentiation and methylation<sup>164,165</sup>. Targeted inhibition of mutated *IDH1* with ivosidenib and of *IDH2* with enasidenib was approved by the FDA in 2017 for patients with relapsed/refractory AML based on shown benefits in clinical studies where approximately one-fifth achieved CR<sup>166,167</sup>. Olutasidenib, an *IDH1* inhibitor, has also been FDA approved for the relapsed/refractory setting<sup>168</sup>.

Moreover, ivosidenib has been approved by the FDA as frontline treatment, either as monotherapy or in combination with azacitidine, to AML patients with an *IDH1* mutation and ineligible for intensive chemotherapy<sup>169</sup>. However, it is important to emphasise that mutations in *IDH1/2* seem to predict durable responses also to azacitidine-venetoclax<sup>85,170</sup>. Hence, the role of IDH inhibitors in the AML armamentarium is currently actively investigated including clinical studies on their efficacy in combination with induction chemotherapy and/or as maintenance drugs.

### ***FLT3***

Likewise, the position of *FLT3* inhibition is and has been duly examined also in *FLT3* positive patients unfit for intensive chemotherapy. Gilteritinib is currently approved as monotherapy in relapsed/refractory AML patients based on OS gains when compared to salvage chemotherapy, especially in patients with co-occurring mutations in both *DNMT3A* and *NPM1*<sup>171</sup>.

Nonetheless, remissions are short if not followed by allo-HSCT as emergence of resistant clones is frequent<sup>172</sup>. Ongoing clinical trials thereby aim at synergistic combinations with prolonged remissions and avoidance of

resistance. Moreover, *FLT3* inhibition in the posttransplant setting is a tempting maintenance strategy to avoid relapse, as shown in clinical trials with the multikinase inhibitor sorafenib<sup>173,174</sup>.

### **Glasdegib**

Aberrant Hedgehog (Hh) signalling is common in AML and includes the transcription of anti-apoptotic proteins as well as LSC maintenance and expansion<sup>175,176</sup>. This fact has ultimately led to the development of the Hh signalling inhibitor glasdegib which currently is approved for use in combination with low-dose cytarabine in patients not eligible for intensive chemotherapy<sup>177</sup>. However, in the current era of venetoclax-azacitidine as recommended front-line therapy to this patient category it shall be emphasised that glasdegib-cytarabine has shown far lower response rates and shorter OS than venetoclax-azacitidine. Future studies will in other words need to aim at decipher what AML subgroups benefit most from glasdegib and especially how to optimally combine glasdegib with other treatment options.

## Acute promyelocytic leukaemia

Acute promyelocytic leukaemia (APL) stands as a role model for successful precision medicine in the field of AML although it once was described as the “most malignant form of acute leukaemia”<sup>178,179</sup>. This distinct subtype accounts for 5-10% of all AML cases annually<sup>180</sup> and harbours specific clinical, molecular and prognostic characteristics.

Clinically, the median age at diagnosis is approximately 58 years<sup>181</sup> which is clearly lower than for most other AML subtypes and thrombo-haemorrhagic manifestations are usually prominent at time of diagnosis<sup>182,183</sup>. The characteristic consumptive coagulopathy typically presents as skin and mucous membrane haemorrhages but pulmonary or intracranial bleedings are not infrequent. Consequently, APL is a haematologic emergency and requires a high degree of suspicion, especially due to long-term cure rates >90% with current treatment regimens<sup>184</sup>.

The cytogenetic lesion defining APL involves the rearrangement of the retinoic acid receptor alpha (*RARα*) gene on chromosome 17(q21). The most commonly found aberration is the balanced reciprocal translocation t(15;17)(q21;q22) that is seen in >95% of all APL cases<sup>185</sup>, a translocation also involving the promyelocytic leukaemia (*PML*) gene on chromosome 15. The resulting fusion protein PML-RAR $\alpha$  causes blockage in myeloid differentiation by acting as a transcriptional repressor on retinoic acid response elements including genes such as *CDKN1A*, *C/EBPE* and *HOXA1*<sup>186</sup>. In addition, PML-RAR $\alpha$  has been shown to cause epigenetic aberrations by recruiting methylating enzymes to critical domains<sup>187</sup>. Treatment with all-*trans*-retinoic acid (ATRA) induces differentiation as ATRA nullifies the transcriptional gene repression by PML-RAR $\alpha$ <sup>188</sup>.

Numerous factors contribute to the phenotypic hyperfibrinolytic and pro-coagulant state seen in APL. These include an activation of the tissue factor promoter by PML-RAR $\alpha$ <sup>189</sup>, the surface expression of annexin II on leukaemic promyelocytes<sup>190</sup> as well as the release of chromatin and phosphatidylserine as APL cells undergo ETosis<sup>191</sup>.

### Early death

The above-mentioned thrombo-haemorrhagic state will, if left untreated, result in ED from bleeding diathesis. ED is usually defined as death within 30 days of diagnosis. Rates of ED range from 5-10% within clinical trials whereas real-world data have reported ED rates of up to 20-30%<sup>184</sup>. Moreover, more than half of all EDs occur within the first week of presentation and most of these already within the first 24 h. Hence, a high degree of suspicion, a rapid initiation of ATRA and aggressive means to correct the coagulopathy are all necessary to abrogate the risk of ED.

Different variables associated with a higher risk of ED include higher age, worse ECOG PS, high-risk disease (as defined below), concurrent infection as well as delays in the administration of ATRA<sup>181,192,193,194</sup>. In addition, lethal bleedings are more frequently seen in APL patients with laboratory perturbations including high levels of WBCs, lactate dehydrogenase and/or creatinine, low fibrinogen levels as well as a prolonged prothrombin or activated partial thromboplastin time<sup>192</sup>.

## Risk stratification and induction treatment

The current widely used risk stratification of APL, known as the Sanz score, dates back to 2000 and is based on a total of 217 patients included in two clinical trials<sup>195</sup>. The model was originally developed to predict three-year relapse-free survival after treatment with ATRA and idarubicin. It has henceforth been shown useful in distinguishing non-high-risk patients that show sustained responses to ATRA and arsenic trioxide (ATO) as compared to ATRA and chemotherapy. The model includes three categories:

- Low risk; WBC  $<10 \times 10^9/L$  and platelets  $>40 \times 10^9/L$
- Intermediate risk; WBC  $<10 \times 10^9/L$  and platelets  $<40 \times 10^9/L$
- High risk; WBC  $>10 \times 10^9/L$

The recommended induction therapy to APL patients with WBC  $<10 \times 10^9/L$ , i.e. the “low” and “intermediate” risk groups, consists of ATRA combined with ATO<sup>196</sup>. This approach results in haematologic CR rates and estimated 4-year event free-survival rates above 95% and 4-year OS rates of up to 99%<sup>197,198</sup>. ATRA in combination with chemotherapy is the current preferred treatment for patients with “high” risk disease, mainly due to lack of data supporting ATRA plus ATO for these patients<sup>196</sup>.

# Aims of the thesis

The overall aim of this thesis was to improve the outcomes for patients with AML by better risk stratification and by improving antileukaemic drug treatment. The specific aims of included papers were:

## **Paper I**

To develop and validate a prediction model for ED in newly diagnosed APL patients in the real-world setting.

## **Paper II**

To investigate the prognostic effect of molecular markers (including somatic mutations and transcriptome data) in AML patients  $\geq 65$  years.

To identify clinical and molecular features that may be utilised to predict the achievement of CR in AML patients  $\geq 65$  years treated with intensive chemotherapy.

## **Paper III**

To investigate the usage and effectiveness of HMAs upfront during 2008-2018 in AML patients  $\geq 60$  years in Sweden.

To characterise clinical factors predictive of response to HMAs.

## **Paper IV**

To characterise the epigenetic and transcriptomic effects of azacitidine and venetoclax in AML to better understand the synergy between the two drugs.

To elucidate potential survival/resistance mechanisms upon azacitidine-venetoclax exposure.

To suggest triplet combinations via *in silico* drug predictions based on obtained molecular data to further enhance the synergy.

# Patient cohorts, materials and methods

## Patient cohorts

### Swedish Adult Acute Leukaemia Registry

Clinical data for **Paper I**, **Paper II** and **Paper III** were retrieved from the Swedish Adult Acute Leukaemia Registry (SAALR). This nationwide registry was founded in 1997 and comprises information such as demographics, disease characteristics and treatment intention on patients diagnosed with acute leukaemia. The coverage is approximately 98% as compared to the legislative registration in the Swedish Cancer Registry<sup>3</sup>.

In **Paper I** we extracted basic demographic and diagnostic laboratory values for all adult APL patients (n = 301) diagnosed between January 1997 and December 2020 from the SAALR. Additional data were collected from the patients' health records. The Swedish patients constituted a training cohort for the development of a prediction model for ED in APL.

The given therapy as well as outcomes are reported to SAALR by the treating clinician or hospital. For **Paper III** we included all reported AML patients  $\geq 60$  years (n = 3 135) with a diagnosis of AML between January 2008 and December 2018.

### ClinSeq

**Paper II** is based on the research group's own well-characterised AML cohort named ClinSeq which contains Swedish AML cases diagnosed between 1997 and 2014<sup>199,200</sup>. ClinSeq includes clinical data obtained from SAALR and patient records as well as molecular data including gene panel sequencing, RNA-sequencing (RNA-seq), whole-genome sequencing and methylome characterisation. For the scope of **Paper II**, we extracted data from ClinSeq on 182 AML patients  $\geq 65$  years of which 130 patients were treated upfront with intensive chemotherapy, 45 patients with palliative treatment and 7 patients with HMAs.

### Validation cohorts

In **Paper I**, the proposed model for ED prediction was externally validated in a single-centre APL cohort (n = 129) diagnosed and treated at the University



Hospital Centre of São João, Porto, Portugal, between January 2005 and April 2019.

We developed a risk-stratifying algorithm with regard to CR achievement in intensively treated patients in **Paper II**. The obtained algorithm was externally validated by using the beatAML cohort which contains clinical and molecular information on 562 primary AML patients by the joint venture of 11 academic medical centres in the United States<sup>201</sup>. Ninety-four intensively treated AML patients  $\geq 50$  years with available RNA-seq data constituted a validation cohort in **Paper II**.

## Primary patient samples and cell lines

**Paper II** includes sequencing analyses on primary patient samples which were collected from newly diagnosed AML patients by bone marrow or peripheral blood sampling before treatment initiation. Mononuclear cells were isolated via Ficoll-Plaque density-gradient centrifugation and were then vitally frozen until use. Samples were collected after informed consent.

**Paper IV** includes the two myeloid leukaemia cell lines Kasumi-1 and KG-1. These were used for *in vitro* exposure to azacitidine and/or venetoclax and downstream sequencing analyses.

## Genetic profiling

The diagnosis of APL was confirmed in all included cases in **Paper I** per clinical routine with the use of cytogenetics, FISH and/or RT-PCR.

ClinSeq data on the mutational status of 25 different genes was utilised in **Paper II**. These somatic variants had previously been manually curated after DNA isolation, library construction and sequencing of vitally frozen diagnostic mononuclear cells from the 182 AML patients included in **Paper II**.

## RNA-sequencing

Transcriptome profiling by bulk RNA-seq allows for the quantification and examination of the RNA sequences present in a given sample at a given time point. In short, the steps of RNA-seq include RNA isolation, selection and reverse transcription of RNA into complementary DNA (cDNA) to which ends sequencing adaptors are ligated. The cDNA is amplified and sequenced on an NGS platform. The obtained reads are then aligned to a reference genome in order to get relative gene expression values as quantification of each gene can be obtained by counting the number of reads mapped per gene<sup>202</sup>.

RNA-seq has been performed and analysed in **Paper II** and **Paper IV** with a focus on differential expression analyses between samples.

## Epigenetic analyses

### ATAC-sequencing

Genome-wide profiling of chromatin accessibility by the Assay for Transposase-Accessible Chromatin using sequencing (ATAC-seq) has become a widely used method to investigate the chromatin landscape both at steady state and upon perturbations such as genetic alterations, substance exposure and/or disease<sup>203</sup>. Importantly, ATAC-seq does not require any presumptive hypotheses on the underlying epigenetic modifications or involved transcription factors as compared to other methods.

ATAC-seq is based on a Tn5 transposase made hyperactive via genetic engineering that then identifies and cuts open chromatin regions and simultaneously ligates high-throughput sequencing adapters to these regions. These tagged and cut regions can then undergo paired-end sequencing on an NGS platform to identify euchromatic regions as well as transcriptional factor binding sites<sup>204</sup>.

**Paper IV** includes ATAC-seq analyses on the AML cell line Kasumi-1 at baseline and after 24 h exposure to azacitidine, venetoclax or the combination of azacitidine and venetoclax.

### Methylation profiling

**Paper IV** also includes methylation profiling of the AML cell lines Kasumi-1 and KG-1 at baseline and after 24 h exposure to azacitidine and/or venetoclax. The array-based Infinium MethylationEPIC BeadChip (Illumina) allows for the investigation of the methylation state of approximately 850 000 CpG sites across the genome<sup>205,206</sup>. Here, isolated genomic DNA undergoes sodium bisulphite conversion in which unmethylated cytosines are converted to uracils whereas methylated cytosines stay unchanged.

After DNA amplification, this polymorphism is then investigated by the hybridisation of the DNA products to pre-specified array probes and hapten-labeled dideoxynucleotides. The colour intensities at each CpG site can eventually after antibody-staining be measured. The methylation states are commonly reported as Beta values, usually defined as the methylated probe intensity divided by the overall intensity. Beta values range from 0 to 1 with a value of 1 representing a fully methylated locus.

# Statistics and bioinformatic processing

## Descriptive statistics and regression analyses

All statistical analyses were performed using the computing environment R<sup>207</sup>. Detailed information on the statistical analyses are found in the corresponding paper and/or supplementary material included in the thesis.

Descriptive statistics for discrete variables included the utilisation of Fisher's exact test for categorical and the Mann–Whitney U test for continuous variables in **Papers I–III**. Kaplan–Meier analyses and the log-rank test were used for estimations of OS and to assess survival differences, respectively, in **Paper II** and **Paper III**.

Univariate and multivariate logistic regression analyses were applied to model the relationship between binary dependent variables and one (univariate) or more (multivariate) independent variables in **Paper I** and **Paper II**. Final covariates for the multivariate models were obtained via backward selection. **Paper I** also included a multivariate penalised logistic regression analysis with ridge penalisation in order to avoid model overfitting. Ridge regression works by the addition of a penalty term to the likelihood function so that the sum of the squared regression coefficients is minimised. Hence, ridge regression results in reduced coefficient estimates compared to standard regression models<sup>208</sup>. This commonly leads to more accurate predictions, in particular if the ratio of events in the dataset divided by the number of included variables in the risk model is low<sup>209</sup> as in **Paper I**.

Cox proportional hazards regression analyses were used to assess the impact of included predictor variables on OS in **Paper II** and **Paper III** and also on relapse-free survival in **Paper II**.

## Conditional inference trees

The algorithm presented in **Paper II** was created by the application of conditional inference trees (CITs)<sup>210</sup>. These decision trees are based on an unbiased recursive partitioning procedure to determine the optimal splitting point of included variables in each node. CITs have numerous advantages over other modelling approaches<sup>211</sup>. First is its foundation on permutational statistical tests to minimise both overfitting and selection bias. Secondly, obtained trees are readily graspable and transparent as compared to models based on neural

networks or support vector machines. Thirdly, CITs may include a wide variety of covariates including nominal and ordinal variables as well as missing values.

For the scope of **Paper II**, a CIT with regard to CR achievement was developed based on the mutational status of all analysed somatic genes as well as the gene expression levels of the 20 most significant differentially expressed genes (DEGs) when comparing individuals obtaining CR or not.

## Propensity-score matching

Propensity-score matching (PSM) was used in **Paper III** to minimise the risk of confounding by indication. Covariates and hence potential confounding factors are usually unbalanced between treatment groups in observational data. Although PSM is a method to eliminate this imbalance, a major drawback is its assumption that there are no unmeasured confounders. PSM builds on logistic regression modelling where values of measured covariates are used to predict the likelihood of a certain treatment allocation<sup>212</sup>. Individuals with a similar propensity score can thenceforth be matched between groups but now with equal values on the included covariates.

For the scope of **Paper III**, we used PSM to match 275 patients given HMA upfront to intensively and palliatively treated patients. The variables used for PSM were age, gender, ECOG-PS, cytogenetic risk and whether the patient had a previous MDS or MPN.

## Bioinformatic processing

Bioinformatics has been utilised for the processing of obtained sequencing data in **Paper II** and **Paper IV** with details delineated in the respective papers and their supplementary material.

DNA sequencing data in **Paper II** underwent quality control, read trimming, mapping to the reference genome Genome Reference Consortium Human (GRCh) Build 37<sup>213</sup> (GRCh37) and subsequent variant calling of somatic single nucleotide variants and insertions/deletions. RNA-seq data was utilised for the detection of *NPM1* mutations due to low panel coverage in exon 11 of *NPM1*. Ultimately, somatic variants were manually curated for 25 different genes with the exclusion of variants with a variant allele fraction  $<0.05$  and/or an allele frequency  $>0.01$  in Caucasians as defined by the ExAC database<sup>214</sup>.

For RNA-seq, the quality of raw reads was assessed followed by the trimming of sequencing adapters and subsequent alignment of reads to the reference genome (GRCh37<sup>213</sup> for **Paper II** and GRCh38<sup>215</sup> for **Paper IV**). Transcript quantification eventually allowed for normalisation of the counts before downstream analyses. Differential expression analyses were performed with

negative binomial generalised linear modelling in DESeq2<sup>216</sup> with a false discovery rate (FDR) threshold of 0.05.

A similar approach was applied to the ATAC-seq dataset in **Paper IV**. This included trimming, genome alignment (to GRCh38<sup>215</sup>) and filtering before the calling of narrow peaks with MACS2<sup>217</sup>. Differentially accessible peaks (DAPs) were finally defined with DiffBind<sup>218</sup> with an FDR of 0.01 and with differential analyses accomplished with DESeq2<sup>216</sup>. The toolbox Hypergeometric Optimization of Motif EnRichment<sup>219</sup> (HOMER) was used for peak annotation with genomic positions as input. Moreover, we performed *de novo* motif analyses with HOMER to investigate the potential enrichment of transcription factor binding sites in DAPs. We defined promoter peaks as peaks with centre coordinates within -1 kbp and +100 bp of RefSeq defined transcription start sites<sup>220</sup>. We utilised our previously collected H3K27Ac chromatin immunoprecipitation sequencing data on AML patients with normal cytogenetics to define genomic regions of enhancers<sup>221</sup>.

For DNA methylation data processing in **Paper IV**, we utilised the R package minfi<sup>222</sup> which includes functions for pre-processing, normalisation and differential analyses. Here, we implemented a stratified quantile normalisation procedure on obtained Intensity Data files which was followed by filtering before probe-wise differential methylation analyses were performed using the linear modeling properties of the R package limma<sup>223</sup>. The FDR threshold was set at 0.05. Alterations in methylation status of significant probes were quantified by using probe- and condition-wise averaged Beta values.

In addition, **Paper IV** also included Reactome pathway gene set enrichment analyses<sup>224</sup> to identify biological themes enriched in obtained DEGs, DAPs and significantly altered CpG probes. All adjusted P-values were obtained using the Benjamini-Hochberg procedure.

Our obtained RNA-seq data in **Paper IV** was used as input for *in silico* predictions to suggest potential add-ons to the already evident synergistic combination azacitidine-venetoclax. This pipeline was based on the R package hCoCena<sup>225</sup> and publicly available drug databases. Weighted co-expression networks based on Pearson's correlation were formed to identify co-expressed clusters of genes per condition, i.e. after exposure to azacitidine and/or venetoclax for 24 h. This approach allowed for the detection of condition-unique gene modules. Next, we extracted 164 344 gene signatures from the iLINCS drug prediction databases<sup>226</sup>. Finally, we highlighted substances with negative enrichment scores upon Gene Set Enrichment Analysis with the up-regulated, combination-unique gene clusters and all extracted signatures as inputs.

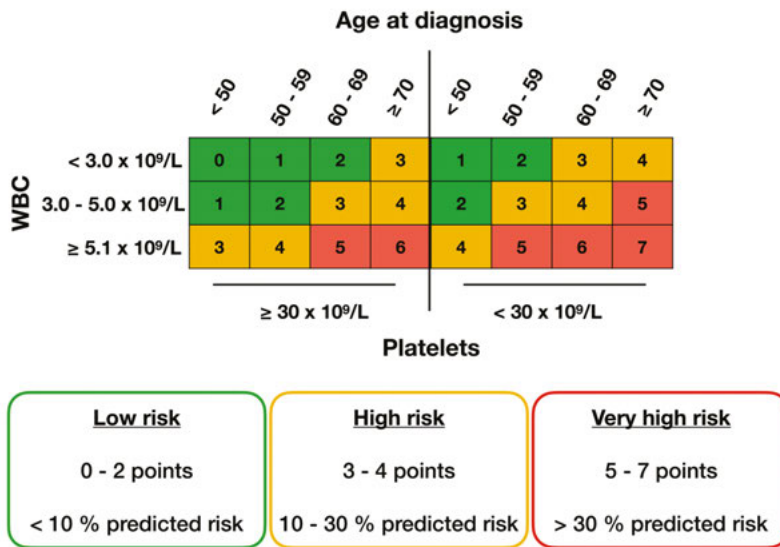
## Ethical considerations

Permission was granted by the Regional Ethics Committee for all studies and these were performed according to the Declaration of Helsinki. Utilised patient data from the SAALR in **Papers I-III** were anonymised to avoid personal harm in the form of integrity breaching. The collection of diagnostic bone marrow samples (**Paper II** and **Paper IV**) or peripheral blood samples (**Paper II**) was conducted after informed consent and conferred minimal additional personal harm as parts of the diagnostic work-up.

It shall from an ethical perspective be emphasised that a deeper understanding of AML with the use of novel techniques not currently in clinical practice, for example RNA-seq or ATAC-seq, comes with the requirement of additional resources and increased costs. However, for the included studies, no treatments were altered based on the performed research, mainly due to the retrospective nature of **Papers I-III** and the cell line-based nature of **Paper IV**.

# Results

## Paper I



**Figure 3.** Risk score algorithm for ED in APL as presented in **Paper I**.

## Main findings and conclusions

**Paper I** showed that ED still is a major obstacle to successful APL treatment as the rates of ED were 19.6% and 18.6% in the training and validation cohort, respectively.

Importantly, this was the first published study to propose a validated risk stratification tool with regard to ED risk in APL. Here, we were able to identify three risk groups based on readily-available variables at diagnosis including age, WBC count and platelets (Figure 3). These parameters were included in the final model as they showed significant prognostic power in multivariate logistic regression analysis. In order to obtain a clinically useful scoring system we assigned integer points to the risk group variables based on ridge regression coefficients after subdividing age into four categories:  $< 50$  years,  $50 - 59$  years,  $60 - 69$  years and  $\geq 70$  years; WBC into three categories:  $< 3.0 \times 10^9/L$ ,

3.0-5.0x10<sup>9</sup>/L and >5.0x10<sup>9</sup>/L; and platelets into two categories: ≥30x10<sup>9</sup>/L and <30x10<sup>9</sup>/L.

The risk score sum ranged from 0 to 7 points where a higher cumulative score indicated a higher risk for ED. Furthermore, we defined three risk groups based on the obtained risk score: “low” (0 - 2 points), “high” (3 - 4 points) and “very high” (5 - 7 points). This stratification resulted in a clear increase in the rate of ED per risk group. ED was observed in 4.8% and 6.7% of patients in the “low” risk group in the training and validation cohort, respectively. Corresponding numbers were 20.2% and 25% for the “high” risk group and 50.9% and 36% for the “very high” risk group.

Moreover, our truly population-based study was able to strike another clinically important chord as our approach showed that the risk of ED began to increase already at sub-normal WBC levels. The risk of ED increased already from approximately 2x10<sup>9</sup>/L and then increased steeply within the normal WBC range. Previous studies including the widely used Sanz risk score for risk stratifying APL patients have applied 10x10<sup>9</sup>/L as a cut-off for WBCs<sup>195,227,228</sup>. In fact, our proposed risk score outperformed the hitherto published tools dealing with ED in APL.

The information presented in **Paper I** may guide clinicians in identifying APL patients in need of more frequent monitoring, potentially even in the intensive care unit, as well as provide clinicians with guidance on transfusion thresholds and aggressive pre-emptive measures on coagulopathy.

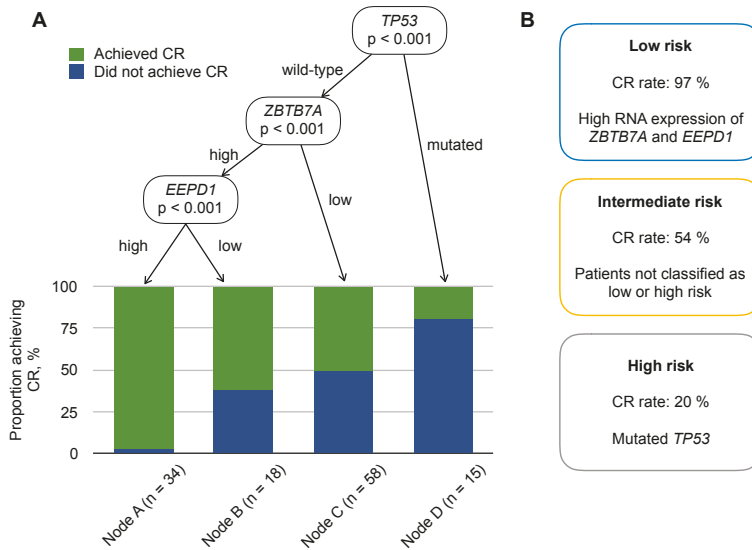
## Limitations

The main limitation of the above-mentioned risk score is the low number of ATO/ATRA-treated patients included in the cohorts. We were thereby not able to validate the utility of the score in the era of ATO/ATRA and further validation of the proposed risk score in the current treatment milieu is warranted. Reports on ED after the introduction of ATO/ATRA as first-line treatment against APL have shown ED rates of 4.9-8.2%<sup>229,230</sup> which are clearly lower than hitherto observed.

In addition, ED was predicted based on retrospective data and the outcomes of the patients were known. Nonetheless, the use of real-world data without the application of exclusion criteria lends validity to the presented risk score.



## Paper II



**Figure 4.** Risk-stratifying algorithm for CR after intensive chemotherapy in AML patients  $\geq 65$  years as presented in **Paper II**.

### Main findings and conclusions

The potential clinical importance of molecular data in choosing treatment for elderly AML patients is presented in **Paper II**. Moreover, we here underlined another unmet clinical need in AML as the median OS for intensively and palliatively treated AML patients  $\geq 65$  years was only 11.4 and 1.8 months, respectively.

We applied age-adjusted multivariable Cox regression analyses to assess the impact on OS of available clinical and mutational variables. Mutations in *NPM1* and *IDH2*<sup>R172</sup> were associated with better OS in patients who underwent intensive chemotherapy whereas mutated *TP53* was the only aberration associated with shorter OS and lower rates of CR. In addition, a poor ECOG PS and high levels of lactate dehydrogenase at diagnosis were indicative of shorter OS.

Patients who were deemed fit for intensive treatment and in whom CR was obtained had a median OS of 23 months as compared to 1.6 months if CR not was obtained. We applied differential gene expression analyses with regard to CR achievement using RNA-seq data from 125 intensively treated cases. Ninety-six genes showed significant expression differences between the CR groups including genes that previously have been associated with both solid and haematological malignancies.

Next, we developed a risk-stratifying algorithm with regard to CR with the application of CIT analyses. Data on all available somatic mutations as well as the 20 most significant DEGs were included. This approach resulted in three risk groups defined as “low”, “intermediate” and “high” risk with CR rates of 97%, 54%, and 20%, respectively (Figure 4). The gene expression levels of *ZBTB7A* and *EEPDI* were in addition to aberrant *TP53* predictive of responsiveness to intensive chemotherapy. Interestingly, the suggested molecular risk stratification translated into significant OS differences whereas risk grouping by ELN did not.

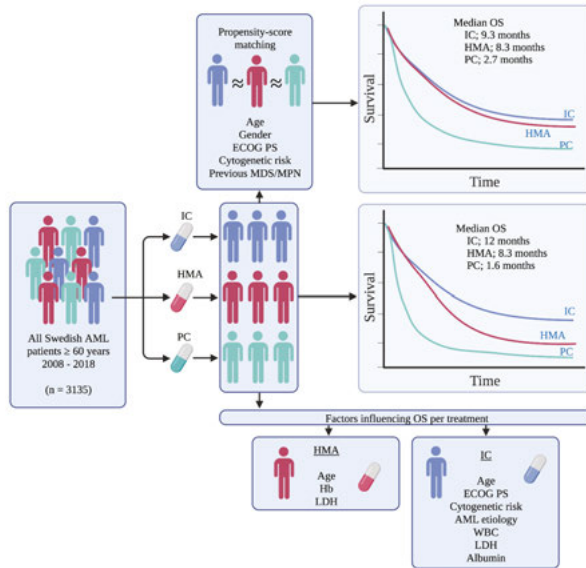
This underscores two clinically relevant issues. Firstly, it emphasises the fact that risk stratification by ELN was developed mainly in younger patients whereby the robustness of the ELN risk groups for aged AML patients may be and have been questioned<sup>231,232</sup>. Secondly, we demonstrated that gene expression analysis may be utilised as a risk stratification tool for CR and/or OS by applying RNA-seq or RT-PCR for specific genes. In particular as we were able to externally validate the proposed risk-stratifying algorithm in the beat-AML cohort with CR rates of 90%, 63%, and 43% for the “low”, “intermediate” and “high” risk groups, respectively.

We also explored factors with a negative impact on OS in patients treated with a palliative intention (n = 34). These included aberrant *TP53*, presence of *FLT3*-ITD as well as an aberrant karyotype albeit the relatively low included number of patients. Nonetheless, studies focusing on molecular biomarkers in palliatively treated AML patients are sparse and the value of non-intensive, targeted regimens in *FLT3* and/or *TP53* mutated individuals remains to be highlighted.

## Limitations

One caveat to **Paper II** includes the use of retrospective data where the risk of observer bias related to outcome(s) shall be noted. However, the use of CIT analyses, an unbiased predictor selection tool, for CR prediction based solely on multiple molecular markers reduces this risk. In order to obtain a publicly available, large enough intensively treated external validation cohort with complete data on clinical, molecular (including RNA-seq) and follow-up data, we needed to lower the age cut-off to 50 years for the beatAML cohort<sup>201</sup>. Nonetheless, we were able to validate our CR algorithm in this younger cohort which indicates that our findings apply also to patients <65 years although this remains to be investigated.

## Paper III



**Figure 5.** Graphical abstract for **Paper III**. Abbreviations: IC: Intensive chemotherapy; PC: palliative care; Hb: Haemoglobin; LDH: Lactate dehydrogenase.

### Main findings and conclusions

In **Paper III** we used real-world data to show a steady increase in the use of HMAs upfront in the period 2008-2018 for AML patients  $\geq 60$  years. The proportion of intensively treated patients remained similar on an annual basis during the study period whereas the proportion of an upfront palliative approach decreased as HMAs gained grounds. HMAs were most frequently used in individuals aged 75-84 years. Importantly, crude OS estimates of HMA treated patients fell in between intensively and palliatively treated cases (Figure 5). The median OS was 8.3 months, 12 months and 1.6 months, respectively, for the mentioned treatment intentions. This highlights the longstanding unmet clinical need of an active treatment approach as well as the efficacy of HMAs on the national level in elderly patients with AML unable to undergo intensive chemotherapy.

The effectiveness of HMAs was further evaluated by PSM as well as multivariate Cox regression analyses in order to minimise treatment selection bias. Strikingly, these statistical approaches revealed no significant OS differences between patients treated with HMAs or intensive chemotherapy upfront as baseline characteristics were balanced. In addition, the effects of HMAs were observed in all cytogenetic risk groups.

**Paper III** also showed that predictive factors for OS were different for patients treated with intensive chemotherapy compared to HMAs. Classical prognostic markers in AML, such as cytogenetics and s-AML, were not relevant for patients treated with HMA. Instead, HMA patients with a higher haemoglobin value at diagnosis had longer OS compared to those with lower values, thus implying a patient group that would benefit from HMAs.

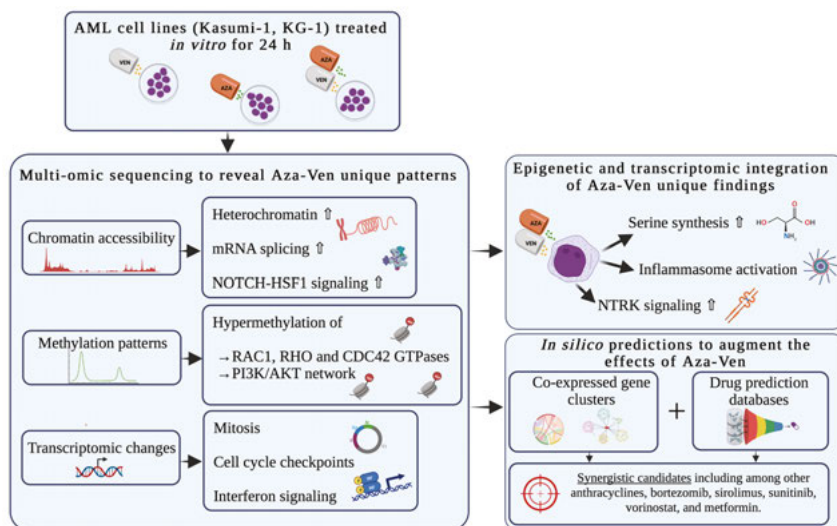
## Limitations

**Paper III** illustrates, in parallel to **Paper I**, the rapidly evolving treatment field in AML during the recent 5-10 years. The current recommended frontline therapy for patients ineligible for intensive chemotherapy consists of azacitidine in combination with venetoclax. Hence, a limitation to **Paper III** is its lack of azacitidine-venetoclax treated patients.

The use of retrospective, observational data also limits our findings as the data quality is dependent on the collected data. The SAALR is a comprehensive data source but lacks for example the type of HMA used, the number of cycles completed as well as molecular markers. In particular the latter could have been informative with regard to predictive biomarkers to HMAs.

We used PSM to control for confounding by indication but it shall be emphasised that PSM cannot control for unmeasured covariates and/or unknown confounders. Nonetheless, we included available factors that are vital in treatment assignment and for the outcome (i.e. true confounders) to account for this limitation in PSM.

## Paper IV



**Figure 6.** Graphical abstract for methods and main findings in **Paper IV**.

### Main findings and conclusions

In **Paper IV** we applied an integrative multi-omic approach to examine the effects of azacitidine and venetoclax in AML (Figure 6). We showed that the two substances alone and in combination have disparate impacts with regard to chromatin accessibility, methylation patterns and gene expression changes that in part may explain the observed clinical synergy. Of interest, venetoclax single-handedly caused widespread chromatin accessibility shifts but few significant transcriptomic and methylation changes whereas the opposite was observed with azacitidine alone.

We mainly focused on combination-unique features in an attempt to define molecular changes associated with the synergism. Firstly, we applied ATAC-seq which showed that exposure to azacitidine-venetoclax resulted in euchromatic sites enriched for genes involved in mRNA splicing, HSF1 activation, TNFR1-induced proapoptotic signalling and pre-NOTCH processing. Secondly, we found that the addition of venetoclax to azacitidine enhanced the number of DNA methylation changes despite the fact that venetoclax alone did not significantly alter any CpG sites. Azacitidine-venetoclax elicited mainly hypermethylation and these combination-unique CpG alterations were enriched for genes related to the RAC1 GTPase cycle, the RHO GTPase cycle, the CDC42 GTPase cycle as well as negative regulation of the PI3K/AKT network. Thirdly, bulk RNA-seq revealed similar pathways to be affected both by azacitidine and azacitidine-venetoclax including interferon- and interleukin-related genes. Moreover, we found combination-specific DEGs to be

enriched for genes involved in mitosis, cell cycle checkpoints and RHO GTPases.

Next, we integrated the different datasets and ultimately identified 11 significantly altered genes with altered chromatin accessibility and/or methylation state. Importantly, these DEGs were observed in both cell lines upon exposure to azacitidine-venetoclax and included inflammasome-related *NLRP1*, genes involved in serine synthesis (*PHGDH*, *PSAT1*, *ATF3*), as well as the proto-oncogene *JUND*. Moreover, our integrative approach pointed towards the activation of NTRK signalling. The up-regulation of numerous of the above-mentioned pathways most likely represents potential survival mechanisms of the AML blasts upon azacitidine-venetoclax exposure and targeted treatments may thus enhance the efficacy of this combination.

Finally, we utilised our transcriptome data and developed an *in silico* prediction pipeline to suggest potent triplet therapies with azacitidine-venetoclax as a backbone. We initially defined combination-unique up-regulated gene clusters by applying weighted co-expression network analyses. Secondly, we extracted gene signatures from the iLINCS drug prediction database<sup>226</sup> and identified substances with negative enrichment scores for the defined upregulated clusters as we reasoned that these clusters are likely to reflect protective or surviving mechanisms. The top hits included well-known AML drugs such as daunorubicin and doxorubicin but as a further proof-of-concept also the proteasome inhibitor bortezomib with shown synergy with venetoclax<sup>233</sup>. Other top candidates included both approved substances such as sirolimus, sunitinib, vorinostat, cabozantinib, dasatinib and metformin as well as experimental compounds such as a dual inhibitor of BCL-2 and MCL-1 named TW 37, the Hsp90 inhibitor tanispimycin and the FLT3 inhibitor KW-2449.

The combinatorial effects of these substances with azacitidine-venetoclax remain to be investigated but our findings in **Paper IV** provide a rationale upon which the treatment of AML patients may be advanced.

## Limitations

It shall be emphasised that **Paper IV** is solely based on *in vitro* and *in silico* findings in AML cell lines which constitutes a major limitation to our findings. Whether the observed molecular effects of azacitidine-venetoclax transcend into primary patient samples both *in vitro* and *in vivo* remains a question for future research. Nonetheless, the controlled environment provided by *in vitro* experiments makes it possible to isolate specific drug effects, in particular when applying exploratory sequencing techniques such as ATAC-seq.

Further limitations to our *in vitro* study include the low number of included cell lines as well as the fact that the cells only were exposed to the substances for 24 h before downstream analyses. This time frame was mainly chosen due to limitations in the different sequencing techniques as too many non-viable cells would have resulted in undesirable noise. In addition, we reasoned that

sorting (e.g. by flow cytometry) for only viable cells had the potential of distorting the sequencing results as we wanted to catch the intrinsic molecular effects of azacitidine-venetoclax in affected cells.

## Concluding remarks and future perspectives

Tremendous advances have been made in the field of AML during the last decades but the similarly tremendous heterogeneity of the disease entity still poses challenges to be overcome. Nonetheless, the rapidly evolving molecular understanding of AML in combination with a growing treatment armamentarium makes the proverbial glass half full rather than half empty.

The clinical gains made with the development of targeted therapies against AML emphasise three important aspects. Firstly, this translational AML research has shown that AML can be successfully treated also without intensive chemotherapy, in particular upon the identification of AML subgroups with the greatest benefits of specific therapies and thereby a focus on further molecular characterisation of AML. Secondly, the fact that the hitherto achieved detailed biological understanding of AML has been translated into clinical utility opens up for the optimistic perspective that an even more detailed delineation may result in further benefits for AML patients. Thirdly and perhaps most importantly is the more and more refined multidisciplinary and collaborative approach behind many of the above-mentioned advances including pre-clinical experiments, technical developments, novel sequencing techniques, computing improvements as well as thoroughly designed clinical trials and follow-up in the form of real-world data.

A number of these approaches have been utilised in the research done within the scope of this thesis in order to contribute to improved prognostication and sharpened AML treatment. Despite all advances obtained, the prognosis for most AML patients is poor and numerous unmet clinical needs persist. A number of them have been addressed by the research of this thesis.

Firstly, ED in APL is a hurdle for successful long-term treatment in a substantial part of all APL patients (approximately 20% in our cohorts). Our proposed risk score will hopefully be clinically useful and implemented to overcome the obstacle of ED (**Paper I**). Secondly, in the era of azacitidine-venetoclax as an effective first-line option to patients ineligible for intensive chemotherapy, it is an intricate clinical question who to treat intensively and who to treat non-intensively<sup>234</sup>. We show that the integration of mutational data and RNA-seq may be feasible to identify elderly patients with good chances of response to intensive chemotherapy (**Paper II**). Moreover, we elucidate the mutational landscape and prognostic impact of gene mutations in both intensively and palliatively treated elderly AML patients (**Paper II**), a hitherto



largely unknown territory. Thirdly, as clinical trials rarely represent the real-world setting, the implementation of novel treatment options requires thorough follow-up including studies comparing the efficacy shown in clinical trials to the effectiveness when used clinically. We show that HMAs may be as effective as intensive chemotherapy in the real-world setting but also that there is a clear room for treatment improvements as exemplified by a median OS of 8-9 months in our matched patients regardless of treatment with an HMA or chemotherapy (**Paper III**). Fourthly, the molecular mechanisms driving the synergy of azacitidine-venetoclax are largely unknown but this understanding would potentially contribute to predictive biomarkers, the emergence of novel AML specific vulnerabilities as well as possibilities of enhancing the effect even further. Azacitidine-venetoclax causes distinct epigenetic and transcriptomic impacts of which many may be ascribed to pro-survival pathways that in turn may be targeted with small-molecule inhibitors (**Paper IV**). Similarly, we show that laboratory experiments, bioinformatic handling and large databases can be combined to advance the treatment armamentarium of AML (**Paper IV**).

Future studies will need to continue this never-ending race of improving the treatment and care of AML patients, in particular as we have just begun to get a grasp of the complexity of the disease. Moreover, the expanded treatment landscape also brings other questions including for example how to implement novel therapies timely, how to best define prognostic and predictive biomarkers for these therapies and whether sequential usage, combinatorial usage and/or maintenance therapy is feasible. It is likely that an even more comprehensive delineation of AML and its subtypes with deeper and newer sequencing techniques, e.g. chromatin profiling and single-cell sequencing, eventually will result in further clinical gains as shown to date.

# Populärvetenskaplig sammanfattning

Akut myeloisk leukemi (AML) är en aggressiv blodmalignitet som drabbar omkring 400 personer per år i Sverige. Detta gör AML till den vanligaste formen av akut leukemi hos vuxna. AML drabbar i stor utsträckning äldre personer där majoriteten av patienterna är över 65 år vid insjuknande. Cancersjukdomen definieras av förekomsten av ett överskott av sjuka, omogna, vita blodceller i benmärgen vilka då tränger undan de friska blodcellerna. Prognosen vid AML är generellt mycket dålig men insikter i sjukdomens biologi och bakomliggande genförändringar har visat att riktade terapier och patientcenterade behandlingsval kan förbättra utfallen. Idag utgör mycket intensiva cellgiftskurer standardbehandlingen för yngre patienter och för äldre patienter med gott allmäntillstånd. Dessa kurer är dock för intensiva för många äldre patienter och för patienter med samsjuklighet och för dessa behövs andra behandlingsalternativ. Det föreligger alltså flertalet förbättringsområden kring AML och dess terapier i nuläget.

Syftet med denna avhandling var att adressera en del av nuvarande hinder till förbättrad prognos och optimerad behandling av patienter med AML. Här nedan följer en sammanfattning av avhandlingens fyra studier.

Akut promyelocytyleukemi (APL) utgör en specifik undergrupp av alla AML-fall och karaktäriseras av uttalad blödningsproblematik. Prognosen vid APL är mycket god förutsatt att rätt terapi sätts in tidigt i förloppet men APL måste behandlas snabbt och specifikt om inte blödningarna ska bli fatala. Olyckligtvis är det många APL-patienter som dör inom 30 dygn från diagnos just på grund av blödningskomplikationer. Syftet med **Studie I** var att utveckla ett kliniskt användbart riskskattningsverktyg för att förutsäga en APL-patients individuella risk att dö tidigt. Vi använde oss av data från det svenska populationsbaserade AML-registret för att skapa denna riskskattning baserat på ålder och nivån på blodplättar och vita blodceller vid diagnostillfället. Vi kunde på så vis identifiera tre grupper med olika risk för tidig död. Vi kunde även bekräfta dessa riskgrupper med data från en portugisisk sjukhusbaserad APL-grupp. Riskskattningsverktyget kan alltså guida kliniker i identifieringen av APL-patienter med hög risk för tidig död och där snabbt insatt behandling, nogsam övervakning och korrigerande av avvikande laboratorievärden behövs för att minska denna risk.

**Studie II** fokuserade på AML-patienter  $\geq 65$  år vid diagnos. Dessa äldre patienter har ofta andra biologiska och kliniska karakteristika än yngre

patienter. Få studier har dock kartlagt förekomsten av och den prognostiska innebörden av genetiska avvikelser hos denna patientgrupp. Vi kombinerade kliniska data från drygt 180 svenska AML-patienter  $\geq 65$  år med molekylära markörer för att undersöka den prognostiska valören av olika mutationer. Vi kunde på så vis identifiera flera genmutationer som indikerade goda svar på cellgiftsbehandling men också ogynnsamma genförändringar. Vår karaktärisering kunde slutligen användas för utvecklandet av ytterligare ett riskskattningsverktyg för att förutsäga chansen att svara på intensiva cellgiftskurer och även detta verktyg kunde bekräftas i en annan AML-grupp. Ett av de svåraste kliniska besluten idag är huruvida en patient ska rekommenderas intensiv behandling eller inte. Detta verktyg skulle kunna användas vid ett sådant beslut förutsatt att tekniska möjligheter avseende genkaraktäriseringen föreligger.

Behandlingen av AML-patienter som inte bedöms tolerera intensiva cellgiftskurer utgörs till stor del av icke-intensiva cellgifter och/eller målinriktade terapier. De förstnämnda är vanligare varav substansen azacitidin är den allra vanligaste. Azacitidin hindrar leukemicellernas tillväxt och godkändes för behandling av AML i början av 2000-talet. Dess effektivitet i den kliniska vardagen, det vill säga utanför kliniska studier, har däremot inte undersökts i någon större utsträckning. Vi använde det svenska AML-registret för att i **Studie III** utforska hur azacitidin står sig i förhållande till intensiva cellgiftskurer och palliativt inriktad behandling hos AML-patienter  $\geq 60$  år vid diagnos. Vi kunde med hjälp av statistiska analyser visa att azacitidin och intensiva cellgifter resulterade i samma överlevnadsresultat när vi jämförde likvärdiga patienter och med betydligt bättre utfall än hos enbart palliativt behandlade patienter. Vi kunde också påvisa skillnader i faktorer som förutsäger ett behandlingssvar på azacitidin och intensiv cellgiftsterapi. Dessa resultat stärker användandet av azacitidin i klinisk rutin, särskilt hos utvalda patienter, och att azacitidin hos många kan utgöra ett fullgott alternativ till intensiv behandling men med färre biverkningar och därigenom bättre livskvalitet.

Azacitidin i kombination med den målinriktade tabletten venetoclax är idag den rekommenderade förstahandsbehandlingen till alla patienter som inte är kandidater för intensiva cellgifter. Goda resultat har visats i såväl studier som i den kliniska vardagen. Detta mycket tack vare att kombinationen leder till en synergistisk avdödning av leukemicellerna. Det är emellertid oklart hur denna synergi uppstår. Vi har i **Studie IV** utfört laboratorieexperiment på AML-celler där vi behandlat dessa med azacitidin och/eller venetoclax och därefter analyserat de molekylära förändringar som sker i cellerna. Dessa undersökningar har visat potentiella överlevnads- och resistensmönster hos AML-celler vid behandling med azacitidin-venetoclax. Denna information är viktig då dessa överlevnads-/resistenssignaler skulle kunna hämmas med tillägg av specifika terapier och på så vis stärka synergin ytterligare. Vi använde också datasimuleringar tillsammans med våra molekylära resultat för att presentera potentiella andra synergistiska läkemedelskombinationer för att ytterligare vässa behandlingen av AML.

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